

D3P

Third Party Download Provider Service

Cities Digital offers a designated third party (D3P) service, also known as third-party download provider service to financial service firms looking to satisfy SEC and FINRA digital storage requirements. This service is required for all broker dealers and firms registered with a broker dealer choosing to shred their paperbased records after imaging their documents.

This service ensures that your records are accessible to auditors in the event that your firm cannot or is unable to provide needed records.

In addition to meeting storage requirements, the D3P service also serves as an off site redundant backup source for your records, making the process of going paperless that much safer.

Discs may be submitted as frequently as needed.

- Secure storage of your imaged data
- SEC/FINRA compliance testing of media
- SEC/FINRA notification
- 128 bit CD/DVD encryption software included
- Online password submission
- Annual media audit reporting
- Setup & Training
- \$100 / month + \$5 / disc submitted



+CITIESDIGITAL By Cities Digital, Inc.

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